# -DECISION-

Claimant:

Decision No.:

815-BR-14

ALISON N HOFFMAN

Date:

April 25, 2014

Appeal No.:

1338042

S.S. No.:

Employer:

BALTIMORE WASHINGTON MEDICAL

L.O. No.:

63

CENTER INC HR DEPT

Appellant:

Claimant

Issue: Whether the claimant was discharged for misconduct or gross misconduct connected with the work within the meaning of Maryland Code, Labor and Employment Article, Title 8, Section 8-1002 or 1003.

# - NOTICE OF RIGHT OF APPEAL TO COURT -

You may file an appeal from this decision in the Circuit Court for Baltimore City or one of the Circuit Courts in a county in Maryland. The court rules about how to file the appeal can be found in many public libraries, in the <u>Maryland Rules of Procedure</u>, Title 7, Chapter 200.

The period for filing an appeal expires: May 26, 2014

#### REVIEW OF THE RECORD

After a review of the record, the Board adopts the hearing examiner's findings of fact. However the Board concludes that these facts warrant a different conclusion of law.

The General Assembly declared that, in its considered judgment, the public good and the general welfare of the citizens of the State required the enactment of the Unemployment Insurance Law, under the police powers of the State, for the compulsory setting aside of unemployment reserves to be used for the benefit of individuals unemployed through no fault of their own. *Md. Code Ann., Lab. & Empl. Art., § 8-102(c)*. Unemployment compensation laws are to be read liberally in favor of eligibility, and disqualification

provisions are to be strictly construed. Sinai Hosp. of Baltimore v. Dept. of Empl. & Training, 309 Md. 28 (1987).

The Board reviews the record *de novo* and may affirm, modify, or reverse the findings of fact or conclusions of law of the hearing examiner on the basis of evidence submitted to the hearing examiner, or evidence that the Board may direct to be taken, or may remand any case to a hearing examiner for purposes it may direct. *Md. Code Ann., Lab. & Empl. Art., § 8-510(d)*; *COMAR 09.32.06.04*. The Board fully inquires into the facts of each particular case. *COMAR 09.32.06.03(E)(1)*.

In a discharge case, the employer has the burden of demonstrating that the claimant's actions rise to the level of misconduct, gross misconduct or aggravated misconduct based upon a preponderance of the credible evidence in the record. Hartman v. Polystyrene Products Co., Inc., 164-BH-83; Ward v. Maryland Permalite, Inc., 30-BR-85; Weimer v. Dept. of Transportation, 869-BH-87; Scruggs v. Division of Correction, 347-BH-89; Ivey v. Catterton Printing Co., 441-BH-89.

As the Court of Appeals explained in *Department of Labor, Licensing and Regulation v. Hider, 349 Md. 71, 82, 706 A.2d 1073 (1998)*, "in enacting the unemployment compensation program, the legislature created a graduated, three-tiered system of disqualifications from benefits based on employee misconduct. The severity of the disqualification increases in proportion to the seriousness of the misconduct."

Dept. of Labor, Licensing & Regulation v. Boardley, 164 Md. 404, 408 fn.1 (2005).

Section 8-1002 of the Labor and Employment Article defines gross misconduct as conduct of an employee that is a deliberate and willful disregard of standards of behavior that an employing unit rightfully expects and that shows gross indifference to the interests of the employing unit or repeated violations of employment rules that prove a regular and wanton disregard of the employee's obligations.

The term "misconduct" as used in the statute means a transgression of some established rule or policy of the employer, the commission of a forbidden act, a dereliction from duty, or a course of wrongful conduct committed by an employee within the scope of his employment relationship, during hours of employment or on the employer's premises, within the meaning of Section 8-1003 of the Labor and Employment Article. (See, Rogers v. Radio Shack, 271 Md. 126, 314 A.2d 113).

Simple misconduct within the meaning of § 8-1003 does not require intentional misbehavior. DLLR v. Hider, 349 Md. 71 (1998); also see Johns Hopkins University v. Board of Labor, Licensing and Regulation, 134 Md. App. 653, 662-63 (2000)(psychiatric condition which prevented claimant from conforming his/her conduct to accepted norms did not except that conduct from the category of misconduct under § 8-1003). Misconduct must be connected with the work; the mere fact that misconduct adversely affects the employer's interests is not enough. Fino v. Maryland Emp. Sec. Bd., 218 Md. 504 (1959). Although not sufficient in itself, a breach of duty to an employer is an essential element to make an act connected with the work. Empl. Sec. Bd. v. LeCates, 218 Md. 202 (1958). Misconduct, however, need not occur during the hours of employment or the employer's premises. Id.

Without sufficient evidence of a willful and wanton disregard of an employee's obligations or gross indifference to the employer's interests, there can be no finding of gross misconduct. *Lehman v. Baker Protective Services, Inc., 221-BR-89.* Where a showing of gross misconduct is based on a single action, the employer must show the employee demonstrated gross indifference to the employer's interests. *DLLR v. Muddiman, 120 Md. App. 725, 737 (1998).* 

In determining whether an employee has committed gross misconduct, "[t]he important element to be considered is the nature of the misconduct and how seriously it affects the claimant's employment or the employer's rights." *Dept. of Econ. & Empl. Dev. v. Jones, 79 Md. App. 531, 536 (1989)*. "It is also proper to note that what is 'deliberate and willful misconduct' will vary with each particular case. Here we 'are not looking simply for substandard conduct...but for a willful or wanton state of mind accompanying the engaging in substandard conduct." *Employment Sec. Bd. v. LeCates, 218 Md. 202, 207 (1958)*(internal citation omitted); *also see Hernandez v. DLLR, 122 Md. App. 19, 25 (1998)*.

Aggravated misconduct is an amplification of gross misconduct where the claimant engages in "behavior committed with actual malice and deliberate disregard for the property, safety or life of others that...affects the employer, fellow employees, subcontractors, invitees of the employer, members of the public, or the ultimate consumer of the employer's products or services...and consists of either a physical assault or property loss so serious that the penalties of misconduct or gross misconduct are not sufficient."

In the claimant's letter of appeal to the Board, the claimant reiterated the reasons that she offered at the appeal hearing for her failure to secure the certification necessary to maintain her employment. While the claimant may have had a lot going on in her life, her employer continued to remind the claimant that receiving her certification within two years was a requirement of maintaining her employment.

The claimant passed the first required test in October 2012. The claimant then waited over one year to attempt to pass the second required test.

The weight of the credible evidence established that the claimant did not exert due diligence to secure her certification within two years of hiring. The claimant's behavior demonstrated a deliberate and willful disregard of the standards of behavior that an employing unit rightfully expects and showed a gross indifference to the interest of the employer.

The Board notes that the hearing examiner did not offer or admit the *Agency Fact Finding Report* into evidence. The Board did not consider this document when rendering its decision.

The Board finds based on a preponderance of the credible evidence that the employer met its burden of demonstrating that the claimant's actions rose to the level of gross misconduct within the meaning of *Maryland Annotated, Labor & Employment Article*, § 8-1002. The decision shall be reversed for the reasons stated herein and in the hearing examiner's decision.

#### **DECISION**

It is held that the claimant was discharged for gross misconduct connected with the work, within the meaning of Maryland Code Annotated, Labor and Employment Article, Title 8, Section 1002. The claimant is disqualified from receiving benefits from the week beginning October 20, 2013 and until the claimant becomes re-employed, earns at least twenty five times their weekly benefit amount and thereafter becomes unemployed through no fault of their own.

The Hearing Examiner's decision is reversed.

Donna Watts-Lamont, Chairperson

Eileen M. Rehrmann, Associate Member

Some Worth - Lamont

VD
Copies mailed to:
ALISON N. HOFFMAN
BALTIMORE WASHINGTON MEDICAL
Susan Bass, Office of the Assistant Secretary

#### UNEMPLOYMENT INSURANCE APPEALS DECISION

ALISON N HOFFMAN

SSN#

Claimant

VS.

BALTIMORE WASHINGTON MEDICAL CENTER INC HR DEPT

Employer/Agency

Before the:

Maryland Department of Labor, Licensing and Regulation Division of Appeals 1100 North Eutaw Street Room 511 Baltimore, MD 21201

Appeal Number: 1338042 Appellant: Claimant

Local Office: 63 / CUMBERLAND

CLAIM CENTER

(410) 767-2421

February 04, 2014

For the Claimant: PRESENT

For the Employer: PRESENT, JAMES W. CARY, JR., GRANT DOPHEIDE

For the Agency:

# ISSUE(S)

Whether the claimant's separation from this employment was for a disqualifying reason within the meaning of the MD. Code Annotated Labor and Employment Article, Title 8, Sections 1002 - 1002.1 (Gross/Aggravated Misconduct connected with the work), 1003 (Misconduct connected with the work) or 1001 (Voluntary Quit for good cause).

#### FINDINGS OF FACT

The claimant, Alison N. Hoffman, worked for Baltimore Washington Medical Center, Inc. from October 10, 2011 until October 26, 2013. The claimant earned \$29.00 per hour plus night and weekend differential while working part time as an ultrasound technician.

When the claimant was hired, she was informed that she was required to obtain certification as an ultrasound technician within two (2) years of the date of her hire. Certification requires that a candidate pass two (2) written exams. The first test is referred to as the physics test and the second is in the area of a specialty such as OB/GYN or abdominal ultrasound. The physics test must be passed first before the second test can be taken. If a test results in failure, there is an eight (8) week period that must pass before an application to take a test will be accepted. The employer hospital accreditation requires that proper

certifications be in place for employees in areas where certification is required.

Certification refreshers are offered on line for a fee. The tests require payment of a fee and are given in Baltimore. The claimant took the physics test in March of 2012 and failed. The employer reminded the claimant to obtain her certification when she was evaluated in May of 2012 and she was informed she had one (1) year to obtain the required certification. The claimant took the physics test again in October of 2012 and passed. On or about October 10, 2013, one year later, the employer informed the claimant that her two (2) year period had expired and she had not completed her certification. She was given 30 days to take and pass the second exam. The claimant took the test on October 26, 2013, but failed the second test. The employer informed the claimant that it could not keep her employed and the claimant elected to resign so she would qualify for re-hire by the employer without having to wait five (5) years to be eligible.

The claimant attributes her delay to working effectively full time, despite being categorized as a part time employee. She had a small child at home and became pregnant after being hired and missed 12 weeks of work for maternity leave. The claimant elected to resign rather than be terminated for failing to become certified before the two (2) year deadline required by her employer.

#### **CONCLUSIONS OF LAW**

Md. Code Ann., Labor & Emp. Article, Section 8-1003 provides for a disqualification from benefits where the claimant is discharged or suspended as a disciplinary measure for misconduct connected with the work. The term "misconduct" is undefined in the statute but has been defined as "...a transgression of some established rule or policy of the employer, the commission of a forbidden act, a dereliction of duty, or a course of wrongful conduct committed by an employee, within the scope of his employment relationship, during hours of employment, or on the employer's premises." Rogers v. Radio Shack, 271 Md. 126, 132 (1974).

Md. Code Ann., Labor & Emp. Article, Section 8-1002 provides that an individual shall be disqualified from receiving benefits where he or she is discharged or suspended from employment because of behavior which demonstrates gross misconduct. The statute defines gross misconduct as conduct that is a deliberate and willful disregard of standards that an employer has a right to expect and that shows a gross indifference to the employer's interests. Employment Sec. Bd. v. LeCates, 218 Md. 202, 145 A.2d 840 (1958); Painter v. Department of Emp. & Training, et al., 68 Md. App. 356, 511 A.2d 585 (1986); Department of Economic and Employment Dev. v. Hager, 96 Md. App. 362, 625 A.2d 342 (1993).

Md. Code, Ann., Labor & Emp. Article, Section 8-1002 provides that an individual shall be disqualified from receiving benefits when he or she was discharged or suspended from employment because of behavior that demonstrates gross misconduct. The statute defines gross misconduct as repeated violations of employment rules that prove a regular and wanton disregard of the employee's obligations.

A claimant who resigns in lieu of discharge does not show the requisite intent to quit under <u>Allen v. CORE Target City Youth Program</u>, 275 Md. 69 (1975). Therefore, a resignation in lieu of discharge shall be treated as a termination under Sections 8-1002 or 8-1003 of the law. <u>Miller v. William T. Burnette & Company, Inc.</u>, 442-BR-82.

#### **EVALUATION OF EVIDENCE**

The Hearing Examiner considered all of the testimony and evidence of record in reaching this decision. Where the evidence was in conflict, the Hearing Examiner decided the Facts on the credible evidence as determined by the Hearing Examiner.

The employer had the burden to show, by a preponderance of the credible evidence, that the claimant was discharged for some degree of misconduct connected with the work within the meaning of the Maryland Unemployment Insurance Law. <u>Ivey v. Catterton Printing Company</u>, 441-BH-89. In the case at bar, that burden has been met.

When hired, the claimant was told of the employer's requirement that she become certified in her job as an ultrasound technician and was aware of the two (2) year limit imposed by the employer. She was reminded of the requirement in May of 2012. She took the first test in a reasonable period of time, but failed it in March of 2012. The waiting period before applying to take the test was eight (8) weeks, but the claimant did not take the test again until October of 2012. She did not attempt to take the second test at all for the next one (1) year period. Three (3) months of this period was maternity leave, but the refresher course is an on line review that could likely be taken at any time. The claimant was aware of the employer's reasonable requirement that she be certified and in a manageable period of time of two (2) years. The employer extended an additional 30 days to her to take the test. The claimant simply did not abide by a requirement of her employment and thereby violated that requirement. The claimant's behavior was more negligent than willful and, though inconvenient for the employer, the claimant's failure did not cause harm to her employer and was not a wanton disregard of her obligations to her employer.

I therefore hold that the claimant committed a transgression of some established rule or policy of the employer, a forbidden act, a dereliction of duty, or engaged in a course of wrongful conduct within the scope of the claimant's employment relationship, during hours of employment, or on the employer's premises. An unemployment disqualification shall be imposed based on Md. Code, Ann., Labor & Emp. Article, Section 8-1003 pursuant to this separation from this employment.

#### **DECISION**

IT IS HELD THAT the claimant was discharged for misconduct connected with the work within the meaning of Md. Code Ann., Labor & Emp. Article, Section 8-1003. Benefits are denied for the week beginning October 20, 2013 and for the nine (9) weeks immediately following. The claimant will then be eligible for benefits so long as all other eligibility requirements are met. The claimant may contact Claimant Information Service concerning the other eligibility requirements of the law at ui@dllr.state.md.us or call 410-949-0022 from the Baltimore region, or 1-800-827-4839 from outside the Baltimore area. Deaf claimants with TTY may contact Client Information Service at 410-767-2727, or outside the Baltimore area at 1-800-827-4400.

The determination of the Claims Specialist is reversed.

B Taylor

B. Taylor, Esq.Hearing Examiner

### Notice of Right to Request Waiver of Overpayment

The Department of Labor, Licensing and Regulation may seek recovery of any overpayment received by the Claimant. Pursuant to Section 8-809 of the Labor and Employment Article of the Annotated Code of Maryland, and Code of Maryland Regulations 09.32.07.01 through 09.32.07.09, the Claimant has a right to request a waiver of recovery of this overpayment. This request may be made by contacting Overpayment Recoveries Unit at 410-767-2404. If this request is made, the Claimant is entitled to a hearing on this issue.

A request for waiver of recovery of overpayment does not act as an appeal of this decision.

Esto es un documento legal importante que decide si usted recibirá los beneficios del seguro del desempleo. Si usted disiente de lo que fue decidido, usted tiene un tiempo limitado a apelar esta decisión. Si usted no entiende cómo apelar, usted puede contactar (301) 313-8000 para una explicación.

## Notice of Right of Further Appeal

This is a final decision of the Lower Appeals Division. Any party who disagrees with this decision may request a further appeal either in person, by facsimile or by mail with the Board of Appeals. Under COMAR 09.32.06.01A(1) appeals may not be filed by e-mail. Your appeal must be filed by February 19, 2014. You may file your request for further appeal in person at or by mail to the following address:

Board of Appeals 1100 North Eutaw Street Room 515 Baltimore, Maryland 21201 Fax 410-767-2787 Phone 410-767-2781

**NOTE**: Appeals filed by mail are considered timely on the date of the U.S. Postal Service postmark.

Date of hearing: January 30, 2014 BLP/Specialist ID: WCU3P Seq No: 006 Copies mailed on February 04, 2014 to:

ALISON N. HOFFMAN BALTIMORE WASHINGTON MEDICAL LOCAL OFFICE #63